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6 **IN THE UNITED STATES DISTRICT COURT**
7 **FOR THE DISTRICT OF ARIZONA**
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9 Jeremy Pinson,

10 Plaintiff,

11 v.

12 United States Department of Justice, et al.,

13 Defendants.
14

No. CV-19-00235-TUC-RM

ORDER

15 In an Order filed on September 7, 2021, the Court addressed various discovery
16 issues and ordered Defendants to (1) file a Notice responding to all concerns raised in
17 Plaintiff's May 5, 2021 letter concerning Defendants' response to Plaintiff's Request for
18 Production ("RFP") 2; (2) file a Notice describing how Defendants searched for
19 documents responsive to Plaintiff's RFP 7; (3) search Plaintiff's Central File for a final
20 decision by the Bureau of Prisons ("BOP") Medical Director on Plaintiff's request for
21 gender-affirming surgery; and (4) file a Notice updating the Court on the status of
22 Plaintiff's prescription eyeglasses and allegedly lost documents. (Doc. 86.) The Court
23 also ordered Plaintiff to file a Notice proposing limitations for a search for documents
24 responsive to her RFP 6, including a specific date range and specific individuals. (*Id.* at
25 7, 12.)

26 Both Defendants and Plaintiff filed Notices in compliance with the Court's Order
27 (Docs. 88, 89, 90), and they responded to one another's filings (Docs. 92, 93, 94). The
28 Court addresses below the parties' supplemental filings, as well as Defendants' pending

1 Request for Permission to File Motion to Compel (Doc. 91) and Motion to Seal (Doc.
2 98).

3 **I. Plaintiff's Eyeglasses**

4 On July 15, 2021, Plaintiff notified the Court that her prescription eyeglasses were
5 broken during an assault that occurred on June 17, 2021. (Doc. 78 at 1-2; Doc. 78-1.) In
6 its September 7, 2021 Order, the Court required Defendants to update the Court on the
7 status of Plaintiff's prescription eyeglasses. (Doc. 86 at 11, 13.) In their supplemental
8 Notice, Defendants aver that Plaintiff first mentioned the broken eyeglasses to prison
9 medical personnel over a month after raising the issue to this Court and that, once
10 Plaintiff brought the issue to medical personnel's attention, she was scheduled to see an
11 optometrist. (Doc. 88 at 1-2; *see also* Doc. 88-2.) Defendants support this averment with
12 medical records and a declaration by Karen Mercaldo, Assistant Health Services
13 Administrator at the United States Penitentiary II in Coleman, Florida ("USP-II
14 Coleman"), where Plaintiff is housed. (Doc. 88-2.)

15 On October 20, 2021, Defendants moved to file an additional exhibit under seal on
16 the grounds that it contains Plaintiff's confidential, protected medical records. (Doc. 98.)
17 The additional exhibit contains medical records and another declaration by Karen
18 Mercaldo, attesting that Plaintiff was seen on October 7, 2021 by a contract optometrist
19 and that eyeglasses have been ordered. (Doc. 99.) The Court will grant Defendants'
20 Motion to Seal.

21 Based on Defendants' supplemental Notice (Doc. 88) and the accompanying
22 declarations and exhibits (Doc. 88-2; Doc. 99), the Court considers the broken eyeglasses
23 issue to be resolved.

24 **II. Plaintiff's Lost Documents**

25 Plaintiff also notified the Court that prison staff lost her case files when packing
26 items in her cell after the June 17, 2021 incident. (Doc. 78 at 1-2; Doc. 78-1.) In its
27 September 7, 2021 Order, the Court required Defendants to update the Court on the status
28 of Plaintiff's allegedly lost documents and, if the lost documents had not been returned to

1 Plaintiff, to provide Plaintiff with copies of all Court Orders and discovery produced to
2 date in this case. (Doc. 86 at 11, 13.)

3 In their supplemental Notice, Defendants aver that, because Plaintiff has never
4 provided an itemized list of purportedly lost documents, Defendants cannot determine
5 which documents were lost when Plaintiff was placed in the Special Housing Unit
6 (“SHU”) on June 17, 2021, and Defendants cannot arrange for the return of any
7 purportedly lost documents. (Doc. 88 at 2-3.) Accordingly, Defendants aver that they
8 sent Plaintiff copies of all Court Orders and discovery produced to date in this case, as
9 directed by the Court’s September 7, 2021 Order. (*Id.* at 3; *see also* Doc. 86 at 13; Doc.
10 89 at 4.) Defendants attach a declaration by Anissa Jackson, Unit Manager at USP-II
11 Coleman. (Doc. 88-3.) Ms. Jackson avers that when Plaintiff was placed in the SHU, her
12 property was packed and inventoried by staff. (*Id.* at 4.) Plaintiff’s property included a
13 green bag of personal papers which were not inventoried. (*Id.*) Ms. Jackson avers that
14 Plaintiff has not provided the Unit Team with an itemized list of documents allegedly
15 lost. (*Id.*)

16 In response, Plaintiff avers that the lost documents were contained in three large
17 manilla envelopes marked “Pinson v. DOJ, 19-cv-235 DAZ” and labeled “Volume I,”
18 “Volume II,” and “Volume III.” (Doc. 93 at 2.) Plaintiff submitted a declaration signed
19 under penalty of perjury averring to the same. (*Id.* at 5.) Plaintiff further avers in her
20 Response—though not in her declaration signed under penalty of perjury—that
21 Defendants have not sent her all Court Orders and discovery produced to date in this
22 case. (*Id.* at 2.)

23 As discussed above, Defendants have represented to the Court, under penalty of
24 sanctions under Federal Rule of Civil Procedure 11, that they have provided Plaintiff with
25 copies of all Court Orders and discovery produced to date in this case, as directed by the
26 Court’s September 7, 2021 Order. Accordingly, the Court will not at this time order any
27 further relief regarding Plaintiff’s purportedly lost documents. If Plaintiff has, to date,
28 not received copies of the Court Orders and discovery, she may file a declaration

1 attesting to that fact under penalty of perjury, and the Court will re-visit the issue at that
2 time.

3 **III. Plaintiff's Requests for Production**

4 **A. Legal Standard**

5 Rule 26 provides that “[p]arties may obtain discovery regarding any nonprivileged
6 matter that is relevant to any party’s claim or defense and proportional to the needs of the
7 case, considering the importance of the issues at stake in the action, the amount in
8 controversy, the parties’ relative access to relevant information, the parties’ resources, the
9 importance of the discovery in resolving the issues, and whether the burden or expense of
10 the proposed discovery outweighs its likely benefit.” Fed. R. Civ. P. 26(b)(1). In
11 determining proportionality, the Court must evaluate all information provided by the
12 parties and then reach “a case-specific determination of the appropriate scope of
13 discovery.” Fed. R. Civ. P 26, advis. comm. notes to 2015 amends.

14 A party may serve on any other party a request for production that is within the
15 scope of Rule 26(b). Fed. R. Civ. P. 34(a). The responding party “has a duty to
16 undertake a diligent search and reasonable inquiry in order to adequately respond to
17 requests for production.” *Burnett v. United States*, No. EDCV-15-1707-CAS(KKx),
18 2016 WL 3392263, at *6 (C.D. Cal. June 14, 2016). The party seeking to compel
19 discovery bears the burden of establishing that its requests satisfy relevancy
20 requirements, and the party opposing discovery bears the burden of supporting its
21 objections and showing why discovery should not be allowed. *La. Pac. Corp. v. Money*
22 *Market 1 Inst. Inv. Dealer*, 285 F.R.D. 481, 485 (N.D. Cal. 2012).

23 **B. RFP 2**

24 In RFP 2, Plaintiff requested: “All emails, memoranda, letters/correspondence,
25 text messages, electronic communications, voice recordings, video recordings between
26 the staff of U.S. Penitentiary Tucson, the Western Regional Office, Transgender
27 Executive Council, TCCT, BOP Medical Director relating to the plaintiff’s request for
28 gender-affirming surgery at any point after [her] arrival to USP Tucson.” (Doc. 64-1 at

1 2.) Defendants objected that RFP 2 is “vague, ambiguous and overbroad,” but they
2 produced one email that the BOP discovered upon searching the email accounts of
3 Haight-Biehler, Brieschke, Dr. Ann Ash, Kerri Pistro, and TCN/Warden@bop.gov for
4 emails regarding Plaintiff’s request for gender-affirming surgery between the date
5 Plaintiff arrived at USP-Tucson and the date she filed her FAC in this action. (*Id.*) In her
6 May 5, 2021 letter, Plaintiff complained that Defendants’ search was too narrow because
7 Defendants did not search the emails of “the BOP Medical Director” or “other members
8 of the TEC and TCCT”; did not produce documents regarding a meeting of the TEC
9 mentioned by Pistro; did not produce documents regarding regional or Central Office
10 involvement; and did not search using terms such as “transgender” or “TEC.” (Doc. 65-4
11 at 5.) In its September 7, 2021 Order, the Court required Defendants to file a Notice
12 responding to all concerns raised by Plaintiff in her May 5, 2021 letter concerning
13 Defendants’ response to RFP 2. (Doc. 86 at 5, 11.)

14 In their supplemental Notice, Defendants aver that they searched the email
15 accounts of each defendant, Plaintiff’s primary physician, the Warden, and the head of
16 the Transgender Executive Council (“TEC”) for any reference to Plaintiff, and then
17 narrowed the search to Plaintiff’s request for gender-affirming surgery. (Doc. 89 at 2.)
18 Although they aver that agendas or minutes of the TEC are not responsive to RFP 2,
19 Defendants have provided redacted agenda/minutes from TEC meetings on September
20 23, 2019, October 7, 2019, and October 23, 2019. (*Id.* at 3; *see also* Doc. 89-1.) The
21 agenda/minutes show that the TEC decided Plaintiff should remain at USP-Tucson.
22 (Doc. 89 at 3; Doc. 89-1.) Defendants aver that, because transfer to a lesser security
23 institution is a prerequisite to transfer to a female institution, which itself is a prerequisite
24 to gender-affirming surgery, Plaintiff did not qualify for gender-affirming surgery and her
25 request for such surgery was not forwarded to the TCCT or Medical Director. (Doc. 89
26 at 2-3.) Defendants therefore argue that there is no reason to believe a search of the email
27 accounts of the Medical Director or members of the TCCT would yield any relevant
28 results. (*Id.* at 2.)

1 In response, Plaintiff argues that Defendants' search for documents responsive to
2 RFP 2 was inadequate because Defendants imposed a time frame on the search, only
3 searched for emails, did not search the email accounts of the BOP Medical Director, and
4 did not produce documents reflecting what the TCCT recommended to the Medical
5 Director. (Doc. 94.)

6 Plaintiff did not argue in her May 5, 2021 letter that Defendants' search was
7 inadequate because it was limited to emails and included a time frame; accordingly, the
8 Court does not find that Plaintiff's meet-and-confer efforts were adequate with respect to
9 those arguments. Furthermore, the Court finds that Defendants' search for documents
10 responsive to RFP 2 was reasonable overall. Defendants imposed a reasonable time
11 frame on the search, beginning with the date Plaintiff arrived at USP-Tucson and ending
12 with the date she filed her First Amended Complaint in this action. Furthermore, based
13 on Defendants' averment that Plaintiff's request for gender-affirming surgery was not
14 forwarded to the TCCT or Medical Director because Plaintiff did not meet the
15 prerequisites for such surgery, the Court finds that it was reasonable for Defendants not
16 to search the email accounts of the members of the TCCT or the Medical Director. As
17 Defendants' search for documents responsive to RFP 2 was reasonable overall, the Court
18 will grant no further relief on this issue.

19 **C. RFP 3**

20 In RFP 3, Plaintiff requested: "All documents or information within [her] Central
21 File relating to [her] request for gender-affirming surgery at any point after [her] arrival
22 to USP Tucson." (Doc. 64-1 at 2.) Defendants objected that RFP 3 is "overbroad, in that
23 it fails to specify an end date," but Defendants searched Plaintiff's Central File and
24 produced responsive documents from the time period February 15, 2018 to June 6, 2019.
25 (*Id.* at 2-3.) In its September 7, 2021 Order, the Court directed Defendants to again
26 search Plaintiff's Central File, from February 15, 2018 to the present, to determine
27 whether it contains a final decision by the BOP Medical Director. (Doc. 86 at 5, 12.)

28 In their supplemental Notice, Defendants aver that Plaintiff's Unit Manager

1 Anissa Jackson conducted a page-by-page search of Plaintiff's Central File from
2 February 15, 2018 to the present and did not locate any documents from the BOP's
3 Medical Director regarding Plaintiff's request for gender affirming surgery. (Doc. 88 at
4 3.) Ms. Jackson states the same in her declaration attached to Defendants' Notice. (Doc.
5 88-3 at 4-5.) Based on Defendants' supplemental Notice and Ms. Jackson's declaration,
6 the Court considers this issue resolved.

7 **D. RFP 6**

8 In RFP 6, Plaintiff requested: "All emails and correspondence mailed or sent to the
9 BOP Central Office relating to amendment of Program Statement entitled 'Transgender
10 Offender Manual.'" (Doc. 64-1 at 4.) Defendants objected that RFP 6 is "vague,
11 ambiguous and overbroad, unduly burdensome, not relevant to any party's claim or
12 defense and not proportional to the needs of the case." (*Id.*) Defendants also argued that
13 the request "is not limited in scope as to time, place, individuals, or content." (*Id.*) In its
14 September 7, 2021 Order, the Court required Plaintiff to file a Notice proposing
15 limitations for a search for documents responsive to RFP 6, including a specific date
16 range and specific individuals. (Doc. 86 at 7, 12.) Plaintiff's supplemental Notice
17 proposes a search "for all information in any format it exists, between Jan. 1, 2017 and
18 May 11, 2018, generated or received by Mark Inch, the BOP Medical Director, all BOP
19 Assistant Directors, the BOP Deputy Director relating to the amendment of the Program
20 Statement entitled 'Transgender Offender Manual.'" (Doc. 90.)

21 Defendants argue that Plaintiff's Notice expands RFP 6 rather than limiting it, as
22 Plaintiff is now requesting information in any format rather than merely emails and
23 correspondence, and she is requesting information *generated or received* by the listed
24 individuals, whereas her original request only requested emails and correspondence
25 *received* by the BOP Central Office. (Doc. 92 at 1-2.) Defendants further note that
26 Plaintiff's purported date range begins well before Plaintiff arrived at USP Tucson and
27 before Mark Inch became the Director. (*Id.* at 2.) Defendants also argue that Plaintiff's
28 request is overbroad because it would require a search of at least a dozen people's

1 records. (*Id.* at 3.) Finally, Defendants argue that the proposal in Plaintiff’s Notice does
 2 nothing to address Defendant’s remaining objections, including that the Transgender
 3 Offender Manual is not relevant to the claims remaining in this case because it does not
 4 discuss gender-affirming surgery or whether hormone therapy should proceed by pill or
 5 patch. (*Id.* at 3.)

6 The Court agrees with Defendants that the proposal in Plaintiff’s Notice does not
 7 sufficiently narrow RFP 6. Furthermore, there is no indication that the Transgender
 8 Offender Manual or the information requested in RFP 6 is relevant to the remaining
 9 claims in this case. Accordingly, the Court denies any further relief on this issue.

10 **E. RFP 7**

11 In RFP 7, Plaintiff requested: “All documents or information relating to plaintiff’s
 12 request for gender-affirming surgery while housed at FMC Rochester in 2017 and 2018.”
 13 (Doc. 64-1 at 4.) Defendants produced one responsive document. (*Id.* at 4-5.) In its
 14 September 7, 2021 Order, the Court required Defendants to describe how they searched
 15 for documents responsive to RFP 7. (Doc. 86 at 7, 11.)

16 In their supplemental Notice, Defendants aver that, in responding to RFP 7, they
 17 searched all of Plaintiff’s medical and psychology records from Plaintiff’s time at the
 18 Federal Medical Center (“FMC”)-Rochester for words including “surgery,” “testicle,”
 19 “vaginoplasty,” “affirming,” and “removal,” and that they produced the only responsive
 20 document referencing a request for gender-affirming surgery. (Doc. 88 at 4.) Plaintiff
 21 argues that Defendants’ search for documents responsive to RFP 7 was inadequate
 22 because Defendants did not search the records of the “BOP TCCT” or BOP Medical
 23 Director, and Defendants did not produce a January 10, 2018 email from FMC-Rochester
 24 psychologist Dr. Jason Gabel or a July 18, 2017 clinical encounter note by Dr. Nancy
 25 Jordan. (Doc. 93 at 3-4.)

26 The Court finds that it was reasonable for Defendants to limit their search to
 27 Plaintiff’s medical and psychology records, and that Defendants’ search for responsive
 28 documents was reasonable overall. Accordingly, the Court denies any further relief on

1 this issue.

2 **IV. Defendants' Request for Permission to File Motion to Compel**

3 Defendants request permission to file a Motion to Compel addressing Plaintiff's
4 responses to Defendants' interrogatories and requests for production. (Doc. 91.)
5 Specifically, Defendants aver that the following discovery issues remain outstanding: (1)
6 whether the documents produced by Plaintiff are responsive to any of Defendants' RFPs;
7 (2) if so, to which RFPs the documents are responsive; (3) whether Plaintiff has produced
8 any documents responsive to RFPs 1, 2, 3, 5, or 6; (4) whether Plaintiff is withholding
9 any responsive documents; and (5) the adequacy of Plaintiff's response to Defendants'
10 Interrogatory No. 1. (Doc. 96 at 2-5.)

11 Plaintiff argues that Defendants' Request for Permission to File Motion to Compel
12 is "unnecessary and moot." (Doc. 95 at 1.) Plaintiff avers that she asked her mother and
13 a law firm that previously represented her in a separate case whether they had any
14 relevant documents, but they did not provide any documents. (*Id.* at 1-2.) Plaintiff
15 further avers that she produced every document in her possession, including documents
16 she believed to be unresponsive, in order to be as broad and liberal in her production as
17 possible. (*Id.* at 3-4.)

18 The Court's Scheduling Order prohibits any party from filing a written discovery
19 motion without leave of Court. (Doc. 50 at 3.) "In the event of a dispute over discovery
20 matters, the parties must engage in personal consultation regarding the dispute and must
21 make a sincere effort to resolve the conflict expeditiously." (*Id.*) If, notwithstanding the
22 parties' sincere efforts, the dispute cannot be resolved, "either party may file a request for
23 a telephonic conference or for permission to file a written discovery motion." (*Id.*) Any
24 such request "must specify the results of the parties' personal consultation and the
25 matter(s) remaining in dispute." (*Id.*)

26 The Court finds that Defendants have sufficiently complied with the Scheduling
27 Order's discovery-dispute procedures. Defendants' Request and Reply detail their efforts
28 to resolve their disputes through personal consultation with Plaintiff. The Request and

1 Reply also clearly specify the matters that remain in dispute. Accordingly, the Court will
2 grant Defendants permission to file a Motion to Compel.

3 **IT IS ORDERED** that Defendants' Request for Permission to File Motion to
4 Compel (Doc. 91) is **granted**. Defendants may file a Motion to Compel within **fourteen**
5 **(14) days** of the date this Order is filed. The presumptive page limits and response/reply
6 deadlines set forth in the Local Rules of Civil Procedure shall apply.


7 **IT IS FURTHER ORDERED** that Defendants' Motion to Seal (Doc. 98) is
8 **granted**. The Clerk of Court is directed to file Defendants' supplemental Exhibit (lodged
9 at Doc. 99) **under seal**.

10 **IT IS ORDERED** that no further relief will be granted at this time with respect to
11 Plaintiff's purportedly lost documents. If Plaintiff has not received copies of the Court
12 Orders and discovery produced to date in this case, she may file a declaration so stating
13 under penalty of perjury.

14 **IT IS FURTHER ORDERED** that the Court denies any further relief with
15 respect to Plaintiff's broken eyeglasses and Defendants' responses to Plaintiff's RFPs 2,
16 3, 6, and 7.

17 Dated this 21st day of October, 2021.

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Honorable Rosemary Márquez
United States District Judge